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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/917,250	07/27/2001	Warren Dalziel	08173-048001

CONFIRMATION NO. 7795

FORMALITIES LETTER



OC000000006539529

20985
FISH & RICHARDSON, PC
4350 LA JOLLA VILLAGE DRIVE
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SAN DIEGO, CA 92122

Date Mailed: 09/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$90.
 - \$90 for 5 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 930.**

01/11/2002 BBAY0511 00000092 09917250

01 FC:101	740.00 OP
02 FC:103	90.00 OP
03 FC:105	130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Secter \$ ✓

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Warren Dalziel
Serial No.: 09/917,250
Filed : July 27, 2001
Title : CONTACT OPTICAL HEAD FOR DATA STORAGE

Art Unit: Unknown
Examiner: Unknown

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of
Application under 37 CFR §1.53(b) mailed September 10, 2001 (a
copy of which is enclosed), applicant as a large entity submits
herewith the following:

- ☒ Payment of the basic filing fee of \$740;
- ☒ Payment of the additional/multiple dependent claims
fees of \$90;
- ☒ Payment of the surcharge of \$130 for late filing of
the basic filing fee and/or declaration.
- ☒ A check in the total amount of \$960 is attached.
- ☐ A Verified Statement Claiming Small Entity Status;
- ☒ A Combined Declaration and Power of Attorney in
compliance with 37 CFR §1.63;

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify that this correspondence is being deposited with the
United States Postal Service as first class mail with sufficient postage on
the date indicated below and is addressed to the Commissioner for
Patents, Washington, D.C. 20231.

October 25, 2001

Date of Deposit

Signature

Sharon Gebhart

Sharon Gebhart

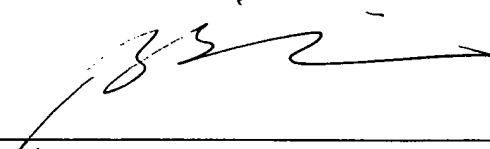
Typed or Printed Name of Person Signing Certificate

- ☐ A verified English translation of the application and payment of the \$ fee required under 37 CFR §1.17(k); and
- ☐ Other:

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: October 25, 2001



Bing Ai
Reg. No. 43,312

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